

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

KOKUSAI076

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

2004 JUN 18 PM 4: 09

RECEIVED  
CENTRAL FAX CENTER

FEB 11 2004

Kazuo Tanaka

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Louis, Wai Sing

For:

TEMPERATURE CONTROLLING METHOD, THERMAL TREATING  
APPARATUS, AND METHOD OF MANUFACTURING SEMICONDUCTOR  
DEVICE

OFFICIAL

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

ATTENTION: FINANCE DIVISION

Sir:

## REQUEST FOR REFUND

A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$84.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date:

2/10/04

Sean M. McGinn, Esq.  
Reg. No. 34,386

McGinn & Gbb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

02/11/2004 11:41 FAX 7037612

McGinn & GIBB, PLLC

DEPT REF Room 307

001/007

RECEIVED  
CENTRAL FAX CENTER

FEB 11 2004

OFFICIAL

LAW OFFICES OF  
**McGINN & GIBB, PLLC**

A PROFESSIONAL LIMITED LIABILITY COMPANY  
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW  
8321 OLD COURTHOUSE RD. SUITE 200  
VIENNA, VA 22182  
TELEPHONE: (703) 761-4100  
FACSIMILE/DATA: (703) 761-2375; 2376  
E-MAIL: MCGINN@GIBB.AOL.COM

SEAN M. MCGINN  
PHILLIP E. MILLER  
FREDERICK E. COOPERBERRY  
PETER A. BALHAYE, Ph.D.  
FREDRIC J. ZIMMERMAN  
JAMES E. HOWARD  
JAMES N. DRESSER  
JOHN J. DRECH

† Other Than Virginia Bar Member

ANNAPOLIS, MD OFFICE  
FREDERICK W. BENT  
MCNAMARA S. HANSMAN

February 10, 2004

VIA FACSIMILE

To: Mai  
Deposit Accounts  
U.S.P.T.O.

Facsimile No.: (703) 872-9306

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: October 31, 2003 Deposit Account Statements  
U.S. Patent Application No.: 09/964,863  
Our Ref.: ORI045

Dear Mai:

A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the October 31, 2003, Monthly Statement of Deposit Account, Excess Claim Fee Payment Letter with dated stamped post-card, and Check No. 9804 including the back side of Check No. 9804 showing that the USPTO in fact cashed the check.

Thank you in advance for your consideration on this case.

Very truly yours,

  
Sean M. McGinn

SMM/yr  
Enclosures

DIPE/JCWS

JUN 14 2004

RECEIVED



**UNITED STATES  
PATENT AND  
TRADEMARK OFFICE**

204 JUN 18 PM 4:09

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

MCGINN & GIBB, PC  
 SEAN R MCGINN  
 8321 OLD COURTHOUSE RD.  
 SUITE 200  
 VIENNA VA 22182-3817

PAB

**RECEIVED**

DEC - 4 2003

**McGINN & GIBB, P.C.**

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

Account No.	500481
Date	10-31-03
Page	1

**PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673**

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	CHECK NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	1	03	371	06542113				
10	6	03	24	09985657	AR1019	8013	25.00	6673.00
10	6	03	32	10674353	339603/00	1201	2.00	6671.00
10	6	03	346	09946753	AA29-1	1001	20.00	6651.00
10	6	03	348	09946753	NE216-US	1504	15.00	6636.00
10	9	03	49	09879157	NE216-US	8001	15.00	6621.00
10	9	03	80	10457006	EDT060	8007	20.00	6601.00
10	17	03	39	09901034	WM-2584	1051	20.00	6581.00
10	17	03	41	09901034	H1123-U	1504	15.00	6566.00
10	17	03	56	10005077	H1123-U	8001	15.00	6551.00
10	17	03	178	10026467	48	1201	10.00	6541.00
10	20	03	336	10474353	MO.49	1201	12.00	6529.00
10	22	03	122	10617353	ERO.010 US	2614	3.00	6526.00
10	23	03	29	09654501	MA-580-US	1201	70.00	6456.00
10	24	03	6	09964863	PH 273792	1202	-36.00	6492.00
10	30	03	4	09969994	KOKUSA1 076	1201	84.00	6408.00
10	31	03	3	10225153	BP-823 US	1806	180.00	6228.00
10	31	03	162	09879157	C32014752AM	1251	110.00	6118.00
10	31	03	164	09879157	EDT060	1501	15.00	6103.00
					EDT060	8001	15.00	6088.00
					FEE CODE 1201			
					Independent claims in excess of three			
<div> <div>AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT</div> <div> <div>OPENING BALANCE</div> <div>6698.00</div> </div> <div> <div>TOTAL CHARGES</div> <div>646.00</div> </div> <div> <div>TOTAL CREDITS</div> <div>36.00</div> </div> <div> <div>CLOSING BALANCE</div> <div>6088.00</div> </div> </div>								

UNL-043 1-25-64  
 Attorney's Post Card Filing Receipt  
 Serial Number: 09-964,513  
 Papers Filed On: 9/8/63

Attorney's Docket Number: **KOKUSIEI 576** ☒ Patent ☐ Trademark

Applicant's Name: John A. May, Sr. Application Filing Date: 7/27/91  
 Papers Filed Hereby: \_\_\_\_\_

☒ **Amendment** ☒ **Request for Extension of Title** ☐ **CPA Request**

☒ Notice of Appeal ☐ Appeal Brief (in duplicate) ☐ Reply Brief

149 Form W-\_\_\_\_\_ Document(s) Priority Document(s)

- ☐ Assignments
- ☒ Transcription Cover Sheet
- ☐ Personal Drawings

☐ Drawing Corrections ☐ Issue Fee Transmittal ☐ Missing Parts

My Dear Eyes Clean & Danderless

From: **Med. History:** 518-7 **Check** ☐ **Charge Deposit Advance**

#9804

Hand Delivered

Docket No. KOKUSA 76

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 JUN 18 PM 4:09

In re Application of

Kazuo TANAKA, et al.

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Wai-Sing Louie

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,  
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICEHonorable Commissioner of Patents  
Alexandria, Virginia 22313-1450**EXCESS CLAIM FEE PAYMENT LETTER**

Sir:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.

	<u>AFTER AMENDMENT</u>	<u>FEE PAID FOR</u>	<u>EXTRA CLAIMS PRESENT</u>	<u>RATE</u>	<u>FEE DUE</u>
Total Claims	16 -	20	- 0	x \$12.00	\$ -
Indep. Claims	3 -	3	- 2	x \$54.00	\$ 162.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$ 162.00

A check in the amount of \$162.00 is submitted herewith to cover the excess claim fees. A duplicate copy of this sheet is enclosed. The Commissioner is authorized to charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully Submitted,

Date:

9/8/03

Peter A. Balneve  
Peter A. Balneve  
Reg. No. 46,199

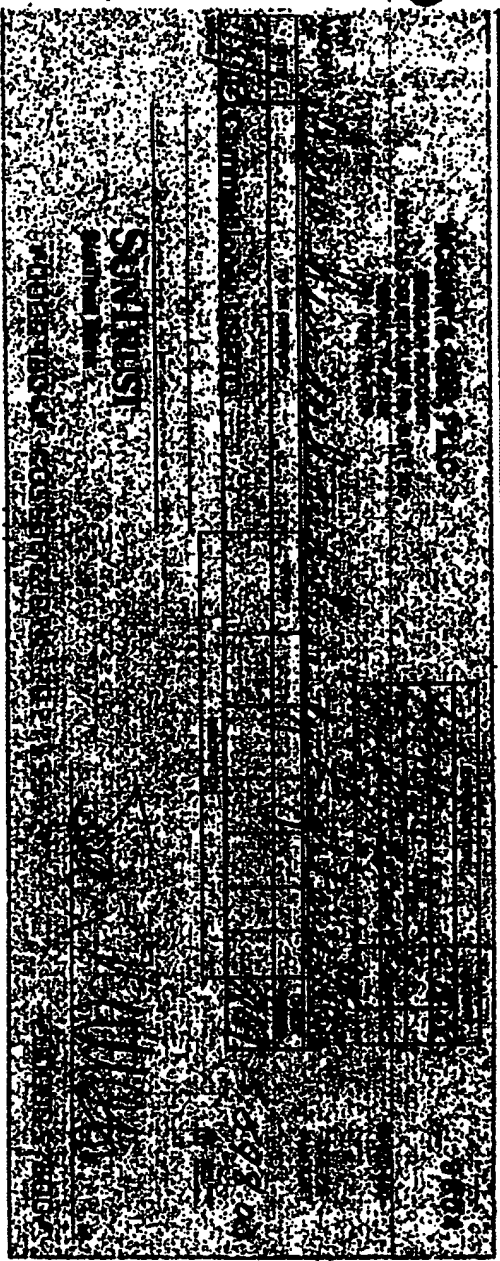
McGinn & Gibb, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182  
(703) 761-4100  
Customer No.: 21254

02/11/2004 11:42 FAX 7037012

McGinnAGibb.PLC

2004/06/18  
DIVISION

2004 JUN 18 PM 4:09



0007/007  
DIVISION

## PATENT AND TRADEMARK

17-19-9993

05-10-2002

**FOR CREDIT TO:**

**U.S. TIMEBOOK**

304 JUN 18 PM 4:09

DO NOT WRITE IN THESE SPACES

1  
 2  
 3  
 4  
 5  
 6  
 7  
 8  
 9  
 10  
 11  
 12  
 13  
 14  
 15  
 16  
 17  
 18  
 19  
 20  
 21  
 22  
 23  
 24  
 25  
 26  
 27  
 28  
 29  
 30  
 31  
 32  
 33  
 34  
 35  
 36  
 37  
 38  
 39  
 40  
 41  
 42  
 43  
 44  
 45  
 46  
 47  
 48  
 49  
 50  
 51  
 52  
 53  
 54  
 55  
 56  
 57  
 58  
 59  
 60  
 61  
 62  
 63  
 64  
 65  
 66  
 67  
 68  
 69  
 70  
 71  
 72  
 73  
 74  
 75  
 76  
 77  
 78  
 79  
 80  
 81  
 82  
 83  
 84  
 85  
 86  
 87  
 88  
 89  
 90  
 91  
 92  
 93  
 94  
 95  
 96  
 97  
 98  
 99  
 100  
 101  
 102  
 103  
 104  
 105  
 106  
 107  
 108  
 109  
 110  
 111  
 112  
 113  
 114  
 115  
 116  
 117  
 118  
 119  
 120  
 121  
 122  
 123  
 124  
 125  
 126  
 127  
 128  
 129  
 130  
 131  
 132  
 133  
 134  
 135  
 136  
 137  
 138  
 139  
 140  
 141  
 142  
 143  
 144  
 145  
 146  
 147  
 148  
 149  
 150  
 151  
 152  
 153  
 154  
 155  
 156  
 157  
 158  
 159  
 160  
 161  
 162  
 163  
 164  
 165  
 166  
 167  
 168  
 169  
 170  
 171  
 172  
 173  
 174  
 175  
 176  
 177  
 178  
 179  
 180  
 181  
 182  
 183  
 184  
 185  
 186  
 187  
 188  
 189  
 190  
 191  
 192  
 193  
 194  
 195  
 196  
 197  
 198  
 199  
 200  
 201  
 202  
 203  
 204  
 205  
 206  
 207  
 208  
 209  
 210  
 211  
 212  
 213  
 214  
 215  
 216  
 217  
 218  
 219  
 220  
 221  
 222  
 223  
 224  
 225  
 226  
 227  
 228  
 229  
 230  
 231  
 232  
 233  
 234  
 235  
 236  
 237  
 238  
 239  
 240  
 241  
 242  
 243  
 244  
 245  
 246  
 247  
 248  
 249  
 250  
 251  
 252  
 253  
 254  
 255  
 256  
 257  
 258  
 259  
 260  
 261  
 262  
 263  
 264  
 265  
 266  
 267  
 268  
 269  
 270  
 271  
 272  
 273  
 274  
 275  
 276  
 277  
 278  
 279  
 280  
 281  
 282  
 283  
 284  
 285  
 286  
 287  
 288  
 289  
 290  
 291  
 292  
 293  
 294  
 295  
 296  
 297  
 298  
 299  
 300  
 301  
 302  
 303  
 304  
 305  
 306  
 307  
 308  
 309  
 310  
 311  
 312  
 313  
 314  
 315  
 316  
 317  
 318  
 319  
 320  
 321  
 322  
 323  
 324  
 325  
 326  
 327  
 328  
 329  
 330  
 331  
 332  
 333  
 334  
 335  
 336  
 337  
 338  
 339  
 340  
 341  
 342  
 343  
 344  
 345  
 346  
 347  
 348  
 349  
 350  
 351  
 352  
 353  
 354  
 355  
 356  
 357  
 358  
 359  
 360  
 361  
 362  
 363  
 364  
 365  
 366  
 367  
 368  
 369  
 370  
 371  
 372  
 373  
 374  
 375  
 376  
 377  
 378  
 379  
 380  
 381  
 382  
 383  
 384  
 385  
 386  
 387  
 388  
 389  
 390  
 391  
 392  
 393  
 394  
 395  
 396  
 397  
 398  
 399  
 400  
 401  
 402  
 403  
 404  
 405  
 406  
 407  
 408  
 409  
 410  
 411  
 412  
 413  
 414  
 415  
 416  
 417  
 418  
 419  
 420  
 421  
 422  
 423  
 424  
 425  
 426  
 427  
 428  
 429  
 430  
 431  
 432  
 433  
 434  
 435  
 436  
 437  
 438  
 439  
 440  
 441  
 442  
 443  
 444  
 445  
 446  
 447  
 448  
 449  
 450  
 451  
 452  
 453  
 454  
 455  
 456  
 457  
 458  
 459  
 460  
 461  
 462  
 463  
 464  
 465  
 466  
 467  
 468  
 469  
 470  
 471  
 472  
 473  
 474  
 475  
 476  
 477  
 478  
 479  
 480  
 481  
 482  
 483  
 484  
 485  
 486  
 487  
 488  
 489  
 490  
 491  
 492  
 493  
 494  
 495  
 496  
 497  
 498  
 499  
 500  
 501  
 502  
 503  
 504  
 505  
 506  
 507  
 508  
 509  
 510  
 511  
 512  
 513  
 514  
 515  
 516  
 517  
 518  
 519  
 520  
 521  
 522  
 523  
 524  
 525